



PATENT  
Attorney Docket No.: KOIKE-01400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re Application of:**

) Group Art Unit: Not Yet Available

Toshimi Hirata

) Examiner: Not Yet Available

Serial No.: 10/574,409

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Filed: March 29, 2006

## TRANSITION ETC.

For: **ILLUMINATION DEVICE**

162 North Wolfe Road  
Sunnyvale, CA 94089

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Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

) (408) 530-9700

Sir:

Enclosed please find a Supplemental Information Disclosure Statement and Form PTO-1449, including seven (7) copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: June 28, 2006

By:

**Jonathan O. Owens  
Reg. No.: 37,902**

**Attorneys for Applicant**

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 6/28/06

- 1 -



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- ) Group Art Unit: NOT YET AVAILABLE
- ) Examiner: NOT YET AVAILABLE
- ) **INFORMATION DISCLOSURE STATEMENT**
- ) 162 N. Wolfe Road
- ) Sunnyvale, CA 94086
- ) (408) 530-9700
- ) Customer Number: 28960

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Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed below, a copy attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

The following printed publication is referred to in the body of the specification:

- Japanese Patent No.: 2968483.

Applicant has become aware of the following printed publications which may be material to the examination of this application:

- Japanese Design Patent No.: D1230635;
- Japanese Patent Application No.: H8-278026;
- U.S. Patent No.: 3,435,286;
- U.S. Patent No.: 3,882,353;
- U.S. Patent No.: 4,417,182; and
- U.S. Patent No.: 4,492,896.

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This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: June 28, 2006

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicant

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Date: 6/28/06 By: Jonathan O. Owens  
HAVERSTOCK & OWENS LLP

FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: KOIKE-01400	Serial No.: 10/574,409		
INFORMATION CONCERNING THE STATEMENT BY APPLICANT <small>(See General Sheets If Necessary)</small> (37 CFR § 1.98(b))				Applicants: Toshimi Hirata			
				Filing Date: March 29, 2006		Group Art Unit: Not Yet Available	

## U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	3,435,286	03/25/69	Kayatt	315	47	09/17/65
	AB	3,882,353	05/06/75	Ackley	315	68	03/28/73
	AC	4,417,182	11/22/83	Weber	315	210	08/04/80
	AD	4,492,896	01/08/85	Jullien	315	153	05/04/83
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AN	D1230635		Japan				X
	AO	2968483		Japan	H05B	37/02		X
	AP	H8-278026		Japan	F21P	3/00		X
	AQ							
	AR							
	AS							
	AT							
	AU							
	AW							
	AX							

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

AY	
AZ	
BA	
BB	
BC	

Examiner: \_\_\_\_\_ Date Considered: \_\_\_\_\_

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.